FATF Immediate Outcomes consolidated compliance

Version: 7 November 2018 - 62 jurisdictions

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LICENCE TERMS

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Email: Ronald.Pol@AMLassurance.com

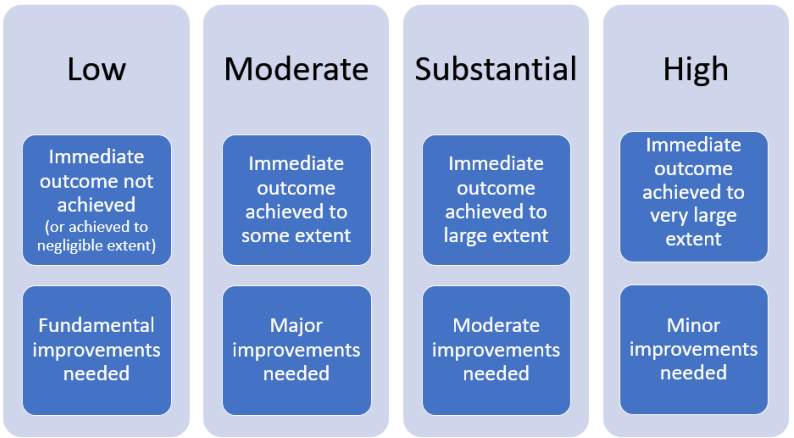
Additional materials available at: www.amlassurance.com

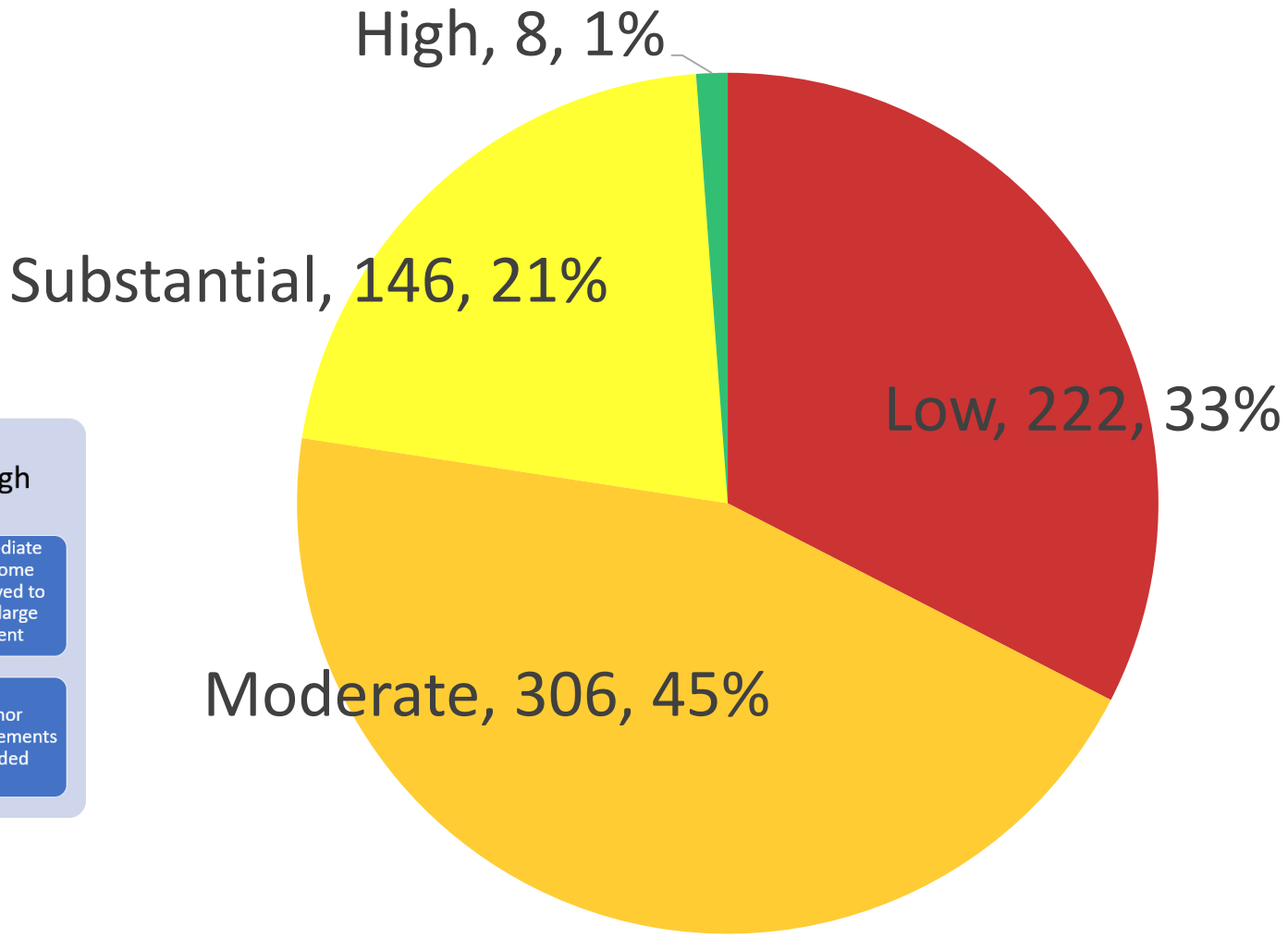
‘Effectiveness’ compliance

62 jurisdictions

Dec 2014 - Nov 2018

Immediate Outcomes: All ratings





Source: FATF ratings available here (p21)

‘Effectiveness’ compliance

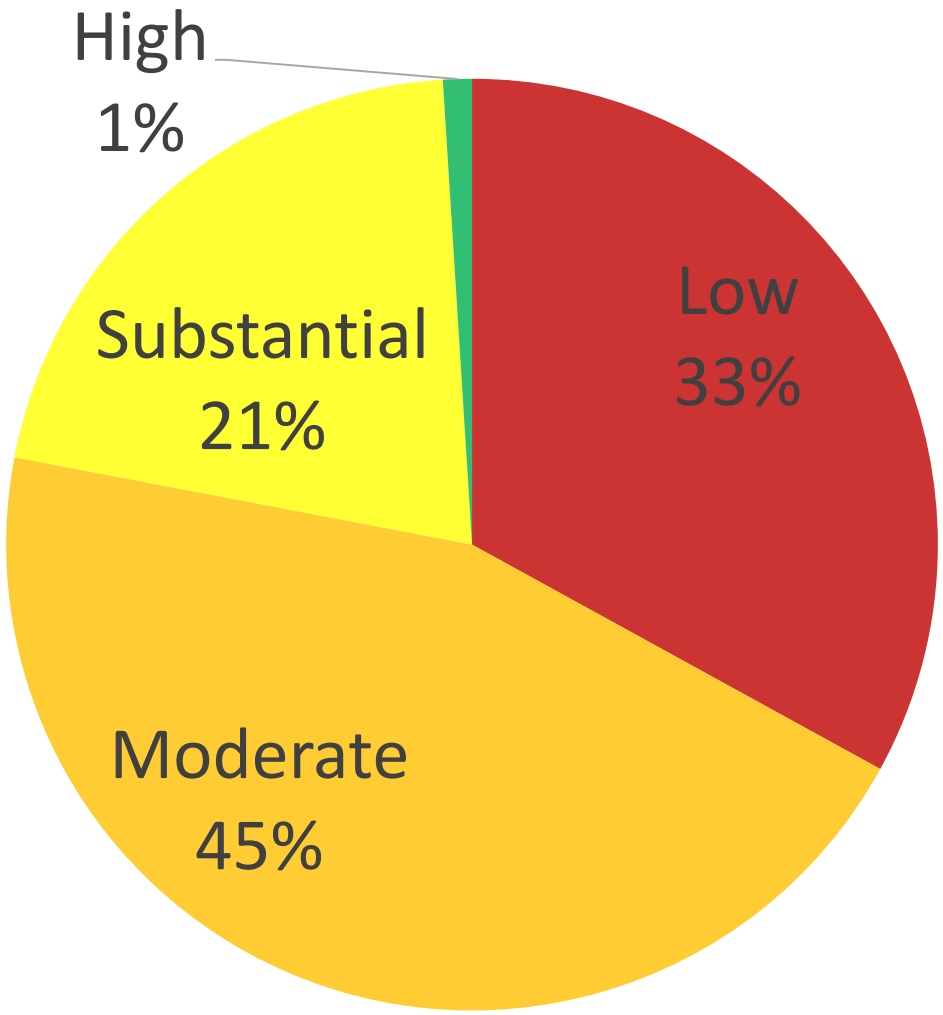
62 jurisdictions

Dec 2014 - Nov 2018

Immediate Outcomes: All ratings %

Low	Moderate	Substantial	High
Immediate outcome not achieved (or achieved to negligible extent)	Immediate outcome achieved to some extent	Immediate outcome achieved to large extent	Immediate outcome achieved to very large extent
Fundamental improvements needed	Major improvements needed	Moderate improvements needed	Minor improvements needed

Source: FATF ratings available here (p21)



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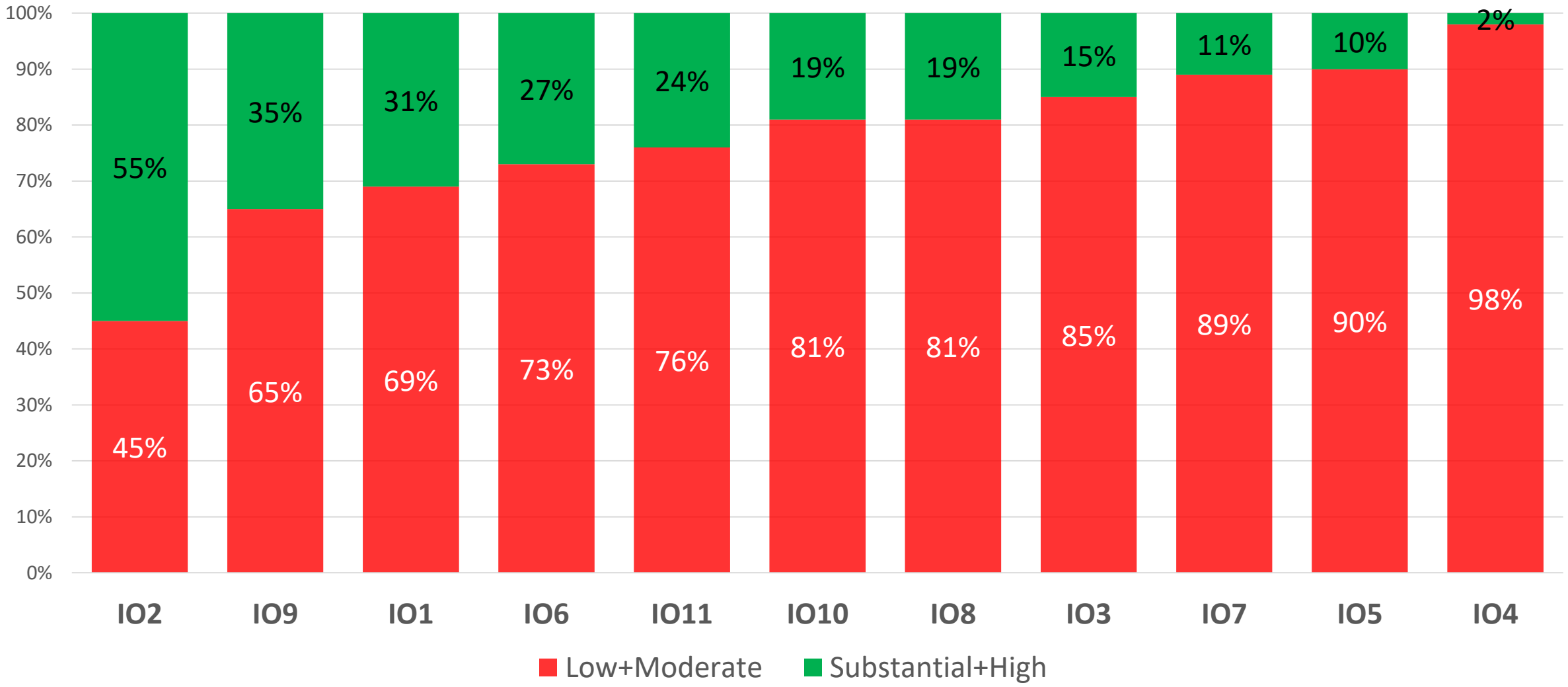
‘Effectiveness’ compliance

62 jurisdictions

Dec 2014 - Nov 2018

Immediate Outcomes: All ratings % grouped



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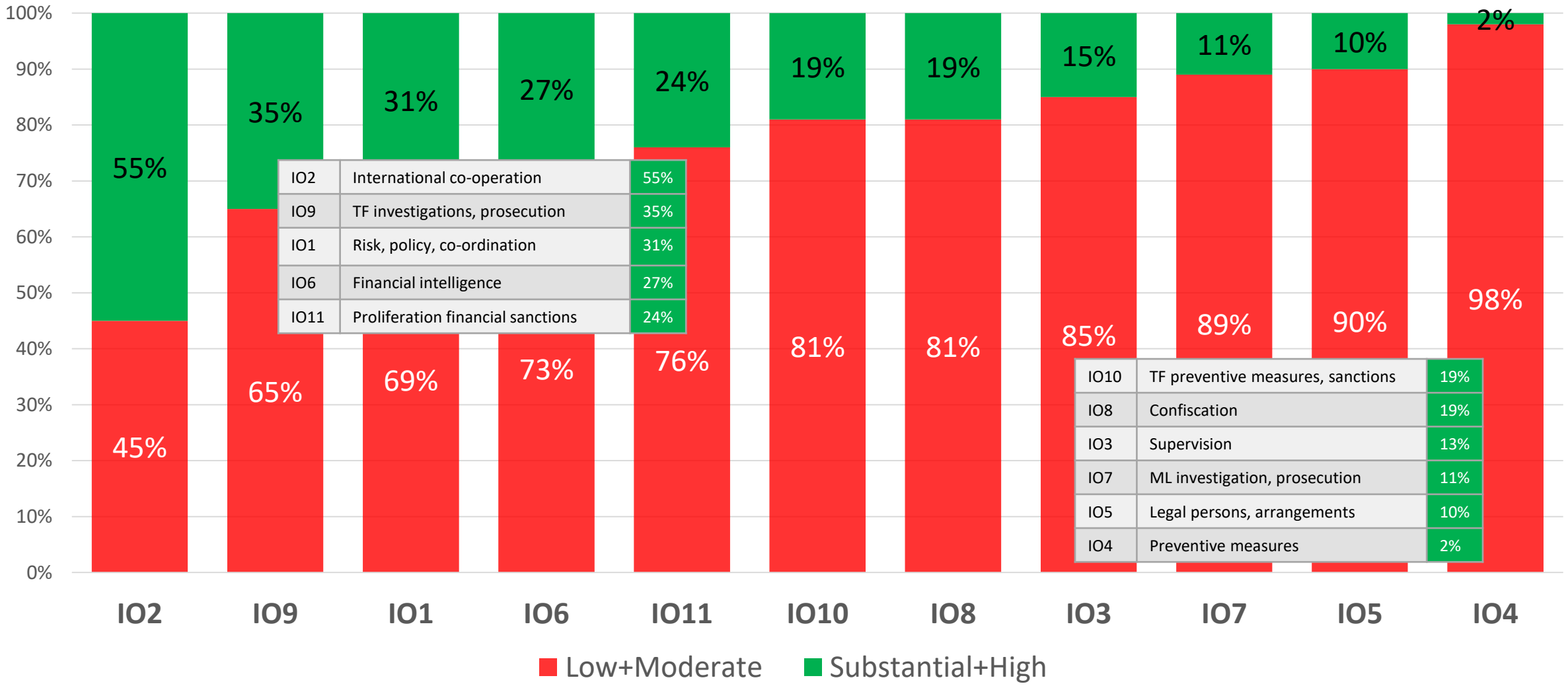
‘Effectiveness’ compliance

Immediate Outcomes: All ratings % grouped

62 jurisdictions

Dec 2014 - Nov 2018



IO2	International co-operation	55%
IO9	TF investigations, prosecution	35%
IO1	Risk, policy, co-ordination	31%
IO6	Financial intelligence	27%
IO11	Proliferation financial sanctions	24%

IO10	TF preventive measures, sanctions	19%
IO8	Confiscation	19%
IO3	Supervision	13%
IO7	ML investigation, prosecution	11%
IO5	Legal persons, arrangements	10%
IO4	Preventive measures	2%

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‘Effectiveness’ compliance

Immediate Outcomes: All ratings % individual

62 jurisdictions

Dec 2014 - Nov 2018

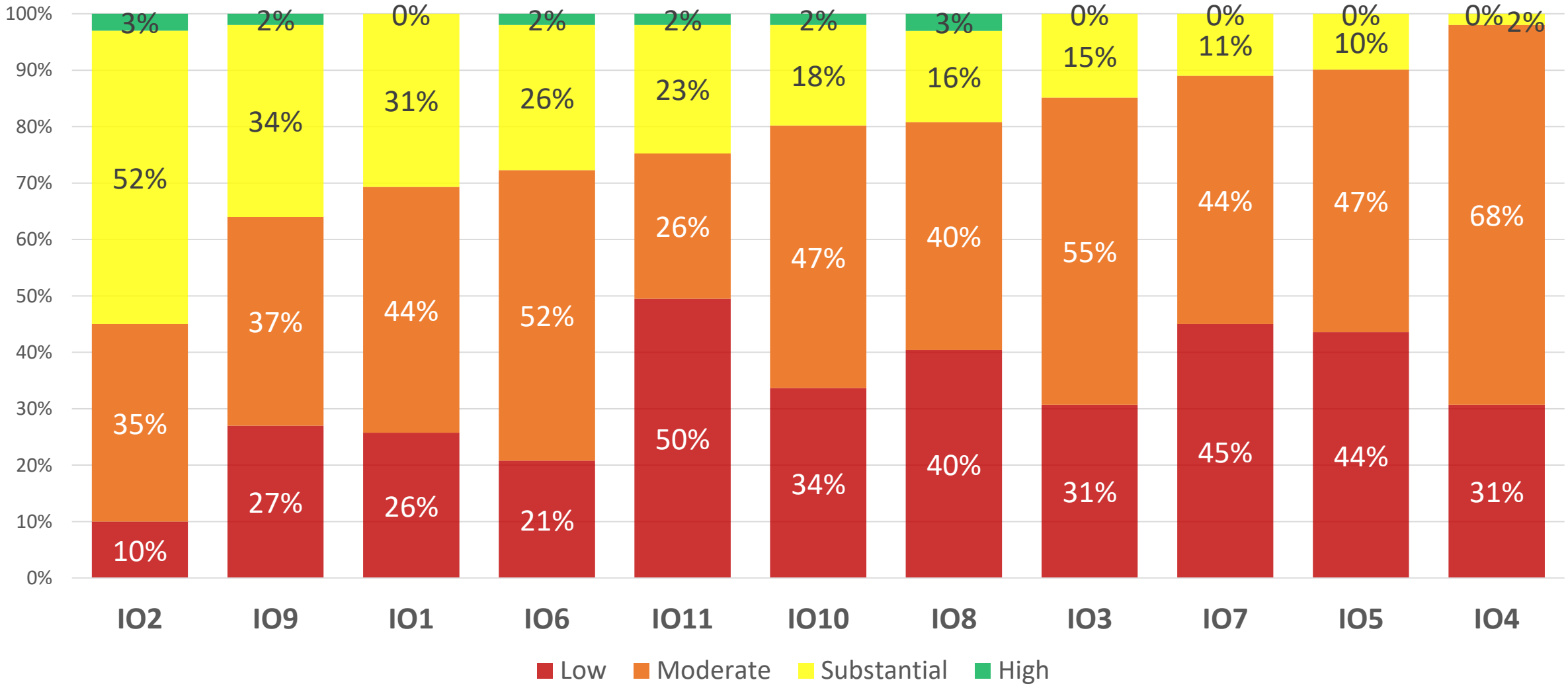
FATF Immediate Outcome		Substantial + High	Low + Moderate
IO2	International co-operation	55%	45%
IO9	TF investigations, prosecution	35%	65%
IO1	Risk, policy, co-ordination	31%	69%
IO6	Financial intelligence	27%	73%
IO11	Proliferation financial sanctions	24%	76%
IO10	TF preventive measures, sanctions	19%	81%
IO8	Confiscation	19%	81%
IO3	Supervision	15%	85%
IO7	ML investigation, prosecution	11%	89%
IO5	Legal persons, arrangements	10%	90%
IO4	Preventive measures	2%	98%

‘Effectiveness’ compliance

Immediate Outcomes: All ratings % individual

62 jurisdictions

Dec 2014 - Nov 2018



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‘Effectiveness’ compliance

Immediate Outcomes: All ratings % individual

62 jurisdictions

Dec 2014 - Nov 2018

FATF Immediate Outcome		Low	Moderate	Substantial	High
IO2	International co-operation	10%	35%	52%	3%
IO9	TF investigations, prosecution	27%	37%	34%	2%
IO1	Risk, policy, co-ordination	26%	44%	31%	-
IO6	Financial intelligence	21%	52%	26%	2%
IO11	Proliferation financial sanctions	50%	26%	23%	2%
IO10	TF preventive measures, sanctions	34%	47%	18%	2%
IO8	Confiscation	40%	40%	16%	3%
IO3	Supervision	31%	55%	15%	-
IO7	ML investigation, prosecution	45%	44%	11%	-
IO5	Legal persons, arrangements	44%	47%	10%	-
IO4	Preventive measures	31%	68%	2%	-

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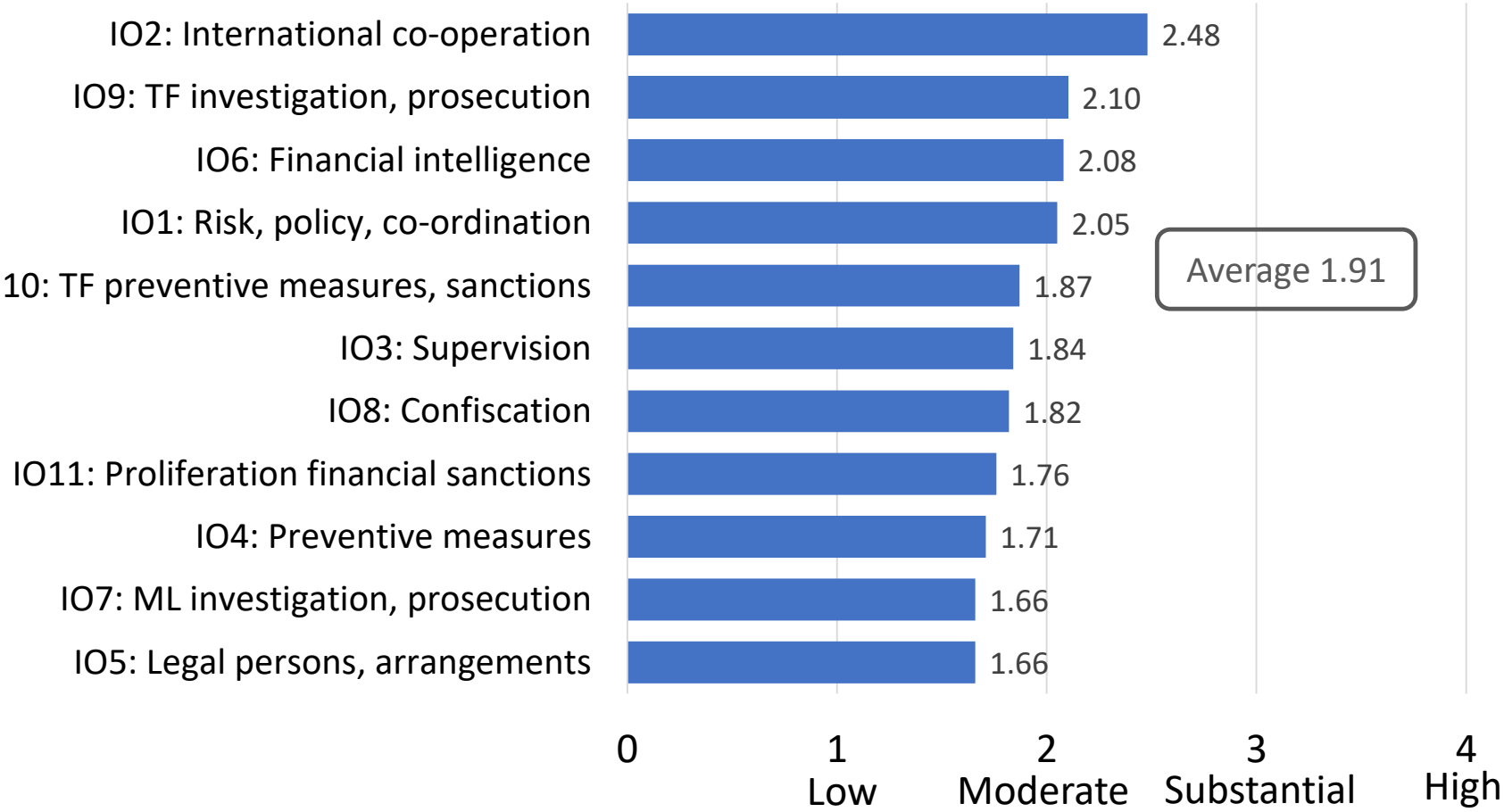
‘Effectiveness’ compliance

62 jurisdictions

Dec 2014 - Nov 2018

Immediate Outcomes: Overall weighted ratings consolidated

Low 1	Moderate 2	Substantial 3	High 4
Immediate outcome not achieved (or achieved to negligible extent)	Immediate outcome achieved to some extent	Immediate outcome achieved to large extent	Immediate outcome achieved to very large extent
Fundamental improvements needed	Major improvements needed	Moderate improvements needed	Minor improvements needed



Source: FATF ratings available here (p21)

Methodology: Pol, “Anti-money laundering effectiveness ratings: Ranking countries and outcomes”, *ACAMS Today*, Dec 2017-Feb 2018.

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‘Effectiveness’ compliance

62 jurisdictions

Dec 2014 - Nov 2018

Immediate Outcomes: Overall weighted ratings consolidated

Low 1	Moderate 2	Substantial 3	High 4
Immediate outcome not achieved (or achieved to negligible extent)	Immediate outcome achieved to some extent	Immediate outcome achieved to large extent	Immediate outcome achieved to very large extent
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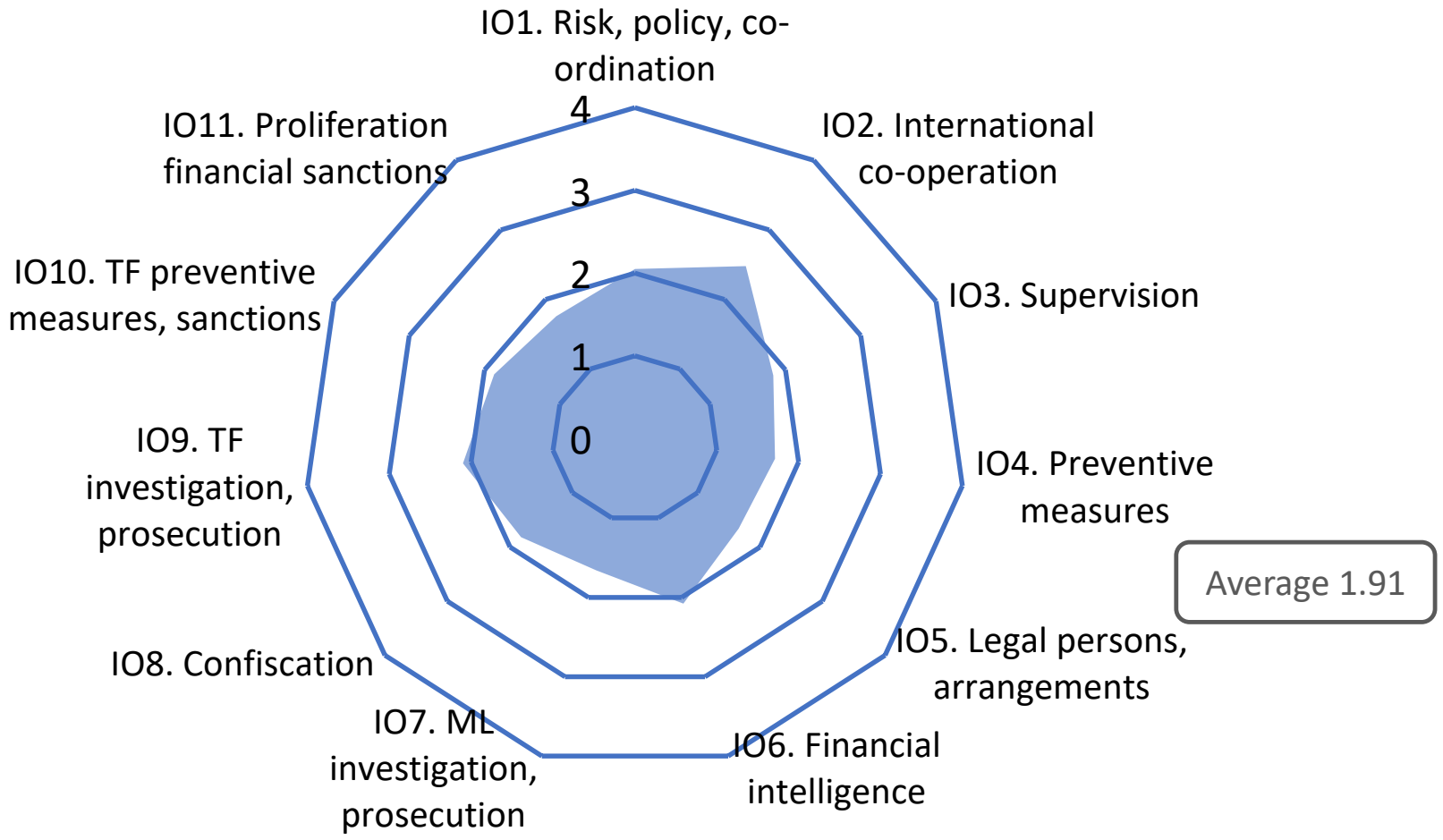

Average 1.91

Methodology: Pol, “Anti-money laundering effectiveness ratings: Ranking countries and outcomes”, *ACAMS Today*, Dec 2017-Feb 2018.

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Fourth round jurisdictions assessed

Dec 2014-Nov 2018 62 jurisdictions

- Andorra
- Antigua and Barbuda
- Armenia
- Australia
- Austria
- Bahamas
- Bahrain
- Bangladesh
- Barbados
- Belgium
- Bhutan
- Botswana
- Cambodia
- Canada
- Cook Islands
- Costa Rica
- Cuba
- Denmark
- Dominican Republic
- Ethiopia
- Fiji
- Ghana
- Guatemala
- Honduras
- Hungary
- Iceland
- Indonesia
- Ireland
- Isle of Man
- Italy
- Jamaica
- Kyrgyzstan
- Latvia
- Macao
- Madagascar
- Malaysia
- Mauritius
- Mexico
- Mongolia
- Myanmar
- Nicaragua
- Norway
- Palau
- Panama
- Portugal
- Samoa
- Saudi Arabia
- Serbia
- Singapore
- Slovenia
- Spain
- Sri Lanka
- Sweden
- Switzerland
- Thailand
- Trinidad and Tobago
- Tunisia
- Uganda
- Ukraine
- United States of America
- Vanuatu
- Zimbabwe

AUTHOR RELEVANT ARTICLES

Laundry-wash: FATF ratings clean the toughest stain

- Ronald F Pol, *Interest.co.nz* https://bit.ly/2PfFvBa and *LinkedIn* (with PDF): https://bit.ly/2PlduYT

More than 120 jurisdictions' anti-money laundering systems will be evaluated in the next decade. Leaked results from the UK's 'mutual evaluation' reveal an effectiveness deficit flagged in scientific research, and opportunities for countries to boost FATF ratings.

Visualising all AML/CTF evaluations

- Ronald F Pol, LinkedIn: https://bit.ly/2RUJbqn

The large number of evaluations in the "fourth round" of country-level AML/CFT evaluations (2014-) and the way they are presented makes it difficult to visualise global results, compare countries, and compare ratings. This article releases some of my own resources developed for 'at-a-glance' system-wide insights.

Uncomfortable truths? ML=BS and AML=BS^2

- Ronald F Pol, *Journal of Financial Crime*, 2018, Vol 25 No 2 (2018): http://dx.doi.org/10.1108/JFC-08-2017-0071

This article applies outcome effectiveness principles to anti-money laundering, exposing and expanding the industry's open secret: it is almost completely ineffective.
If your organization does not have access rights to academic journals, the full article is available for a small charge from the publisher.

Anti-money laundering effectiveness: Assessing outcomes or ticking boxes?

- Ronald F Pol, *Journal of Money Laundering Control*, 2018, Vol 21 No 2 (2018): http://dx.doi.org/10.1108/JMLC-07-2017-0029

The first independent assessment applying outcome effectiveness principles to the new global methodology for evaluating anti-money laundering effectiveness based on specified outcomes. This article explains why the new methodology is incapable of assessing effectiveness as it purports.

- our organization does not have access rights to academic journals, the full article is available for a small charge from the publisher.

Anti-money laundering effectiveness ratings: Ranking countries and outcomes

- Ronald F Pol, *ACAMS Today*, Dec 2017-Feb 2018.

There is no official consolidated 'effectiveness' country rating or ranking. This article offers simple new ways to rank country ratings.

- LinkedIn (author summary & link to source): https://bit.ly/2q9KGEH

Access to the full article may depend on your organization's ACAMS subscription access.

Additional materials available at: www.amlassurance.com. For specific ratings comparators, contact us directly.

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